The 7th Meeting of Low Carbon Model Town Task Force (LCMT TF) The 47th APEC Energy Working Group and Associated Meetings Kunming, China

Development of APEC Low-Carbon Town Indicator (LCMT-TF7-04.1)

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Purpose

- The ways to review and develop low-carbon cities have a big sort of significant differences from economy to economy, making it difficult for the project to achieve overall progress in the region.
- In order to facilitate and support the overall progress of the project in the region, indicators (standards) that practically manage CO2 emissions at the municipal level need to be developed, disseminated, and widely used.
- It was agreed at the 5th APEC Low-Carbon Model Town Task Force meeting in Samui Island, Thailand in March 2013, to start the study on indicator system to measure the characteristics or quality of low-carbon town and to incorporate the result into the "Concept of Low-Carbon Town in the APEC region". Task Force Japan and Study Group A were assigned to conduct this study.
- Japan, which is advanced and has long experience in the field of energy saving, could contribute to the further development of the APEC LCMT project by taking the initiative in developing a CO2 (energy-originated CO2) management method for cities.
- we propose anew that a management indicator system should be developed for the APEC LCMT project, which aims to promote the development of low-carbon towns across the region, by leveraging the LCMT concept and the results of the past feasibility studies.



Concept of APEC LCT-I

(2) WHO: Assessment Body

Local or central government who will and now engages in low carbon town

(3) WHEN: Assessment Timing

 Current situation diagnosis phase, planning phase, construction phase, operation phase

(1) WHY: Purpose

- Self-assessment and growth management in low carbon town development
- Possible to assessment by every economies

(4) WHERE: Scope of Assessment

 Administrative districts under the jurisdiction of local governments in APEC economies

(6) HOW: Assessment and Operational Methods

- Simple and easy-access assessment tool
- "PDCA" can get more proceeding to develop LCT by this Index

(5) WHAT: Assessment Areas and Items

 Comprehensive areas and items required for low carbon towns



Study Flow

Examination of existing low carbon, Energy efficiency and Smart city Indicators

- Collect major evaluation systems for urban areas, projects, cities
- Perform analysis based on the principles of the study and LCT-I structure

Existing Evaluation systems for Citien	es
(1) Universal Type	(2)Domestic Type
 LEED-Neighborhood Development (LEED-ND) CASBEE-urban CASBEE-city Green Growth Indicators (Green Cities programme) Global City Indicators Green City Index 	 ⑦Sino-Singapore Tianjin Eco-city ⑧Smart city index (智慧城市指標) ⑨Reference Framework for Sustainable Cities ⑪European Initiative on Smart Cities ⑪J-CODE ⑪Tianjin Yujiapu CBD ⑪Other domestic tools

Classification attributes

 Scope of evaluation Field of evaluation Purpose of evaluation Evaluation format Date of implementation 	 Origin (country) of implementation Number of indexes Evaluation methods (quantitative/qualitative) Applicants/Evaluator Required data 	 Practical applications Example of practical applications Complexities Regional adaptability Consistency with international trends (e.g. ISO)

Ex) Where: What is the scope of the assessment?

An assessment should be made on a municipal or administrative district basis.



Categorization of existing indicators by the size of the





Ex) How: How should the assessment be approached?

The assessment process should be simple and easy to understand and should reflect the circumstances of each economy, project characteristics, and international trends.



Based on the above analyses, we found the following:

- -There exist no indicators that fully match the LCT-I we aim at or any indicators that can serve as reference across all aspects of 5W1H (see Summary Table on the next page).
- -Therefore, a new set of low carbon city assessment indicators should be developed.
- -However, since some of the aforementioned existing indicators have characteristics that can serve partially as reference in each aspect of Who, Why, When, Where, What, and How, the effective way to develop new low carbon city assessment indicators is to skillfully combine these characteristics.
- -Use the indicators below as reference and tap into and leverage their essence when developing LCT-I:

-CASBEE: Cities (scope of assessment, CO₂ calculation method)
 -J-CODE (assessment ranks, assessment criteria)
 -Tianjin Yujiapu CBD (assessment criteria, core + additional)
 -Global City Indicators (World Bank) (use of existing statistical data)

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(i) Indicators are simple and easy to understand

(ii) Indicators reflect the conditions of each economy and project characteristics

(iii) Indicators are based on existing APEC LCMT FS outcomes, existing assessment indicators, and international trends



Image of Low Carbon Town and LC measures



Space Scale Setting

Low Carbon Town in the APEC by APEC LCMT CONCEPT

Type of Town	Low Carbon Town Project	Economy	Population
(I) Urban - 1	Yujiapu CBD, Tianjin*1	China	500,000
(Central Business	Sino-Singapore Tianjin Eco City	China	350,000
District : CBD)	Quezon City Green CBD	Philippine	
(II) Urban - 2 (Commercial Oriented	Putrajaya Green City	Malaysia	68,000 (300,000 planned)
Ťown)	Chiang Mai	Thailand	160,000
	Da Nang *3	Viet Nam	1million *
	Cebu City *3	Philippine	820,000
	Surabaya *3	Indonesia	2.8 million *
	Yokohama Smart City Project	Japan	3.7 million *
(III) Urban – 3 (Residential Oriented	Plunggol Eco Town	Singapore	
Town)	San Borja *4	Peru	110,000
(IV) Rural	Muang Klang Low Carbon City	Thailand	17,000
	Jeju Island Smart Green City	Korea	6,000 households
	Low Carbon Island (Penghu Island and Others)	Chinese Taipei	88,000
	Samui Island *2	Thailand	53,990

*1 LCMT Phase I feasibility study *2 LCMT Phase II feasibility study

*3 Pilot City of WB Eco2 Cities Project *4 LCMT Phase IV feasibility study * Total population

source) APEC LCMT CONCEPT

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Areas and measures covered by APEC LCT-I

Since low-carbon measures vary with the scale of a target project, the scope of assessment and target technology are closely connected.

The scope of assessment shall include buildings, transportation, and district/block infrastructure within an LCMT boundary (project boundary, administrative boundary).



Assessment Areas

Not only the areas that have a direct impact on low-carbonization, but also those that indirectly impact it (areas that contribute to the enhancement of appeal for and sustainability of LCT) should be targeted.

While the APEC LCMT CONCEPT states measures to achieve low-carbonization as one of areas, the APEC LCT should assess the results (output) of using such measures.
 The assessment areas shall include nine basic categories (Qualitative Assessment: 8 areas, Quantitative Assessment: CO2) and each economy shall be allowed to add extra categories on an as-needed basis.

Category	Area	Connection with the Concept of the Low-Carbon Town in the APEC Region				
Qualitative	(1) City Structure	1 Town structure (Low-Carbon Town Structure)				
Assessment	(2) Building	2 Building (Low-Carbon Building,)				
	(3) Energy Management System (EMS)	Business EMS, home EMS, Factory EMS, Area EMS				
	(4) Transportation	4 Transportation (Low-Carbon Traffic)				
	(5) Energy	 5. Area Energy Network 6 Untapped Energy 7 renewable Energy 8 Smart Grid System 				
	(6) Environment	Nature conservation, air, water quality, soil, noise, recycled water, waste				
	(7) Lifestyle	Education, culture, health, environmental awareness				
	(8) Management	Organization, BCP				
Quantitative	(9)CO ₂	Reduction and absorption				
Assessment						

Assessment Method

Set goals using a three-star scale (★ to ★★★).
A numerical value for each of ★, ★★, ★★★ shall be set by each economy.
When the numerical values cannot be set, reference values shall be provided in the reference so that they can be used as reference.
For assessment results, strive to visualize the overall assessment rank, area

assessments (radar chart), and individual assessments.



While the numerical value for each level can be set by each economy (they will vary with the economy), each secretariat should provide some reference values.



Assessment Method Approach to assessment Criteria

Approach to Assessment Criteria

Area	Core & Additional	Assessment Item	*	**	***
(1) City Structure	• (Optional)	Public green area per person ***	12 m ² /person or more It can be s	15 m²/person or more et based on ad	18 m²/person hore vanced cases
The same	(Optional) (Optional)	***	(such as a .	Japanese case).	
assessment method applies to all areas.	Assessment based on 🖈	t by area (calculate r = 1 point) erage is adopted since the mber of optional items fes.	Average: 0.5 to 1.5 points	Average: 1.6 to 2.5 points (Required items must be ★★ or more.)	Average: 2.6 points or more (Required items must be **or more.)
(2) Building	•				
The same assessment	(Optional) (Optional) (Optional)	Option	al items can be s (At least one item	et by each econor must be set.)	ny.
to all areas.	Assessment b	y area (calculate based on	ditto	ditto	ditto
(3) EMS					
(8) Management					
Overall Assessment	((1) to (8))				

(9)Qualitative assessment	•	CO ₂ reduction (tCO ₂ /year)	_	_	_
(CO ₂)	(Optional)	CO2 absorption (tCO2/year)	_	_	_





Assessment Method Output Image



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Assessment Indicators by Area (draft) 1/3

Set required items, which correspond to a city category, by assessment area.
 Use qualitative indicators ([1]–[8]) as much as possible.

Assessment criteria for each indicator shall be developed by a local/central government. For economies without criteria, standard values or assessment methods should be given in the Reference section.

Area	Item	Expected Effect	Assessment Indicator (to promote low-carbonization)
(1)City Structure	Homes and places of work in close proximity	Less traffic jams by reducing the use of motorbikes and cars	Percentage of workers to residents in the district
	Intensive land use	Control of suburban sprawl by leveraging volume	Total floor area per unit area in the center of a city
	Securing of green space	Increase in absorption of CO ₂ ,	High tree rate
		decrease in heat island effect	Area of green space per capita
	TOD	Promotion of use of public transportation	Presence/absence of an intensive land use plan for the area within a one-kilometer radius from a station
	Universal	Promotion of walking by eliminating a difference in level and promotion of comfortable movement within the region by setting up signs	Presence/absence of barrier-free and universal design
(2) Building	Energy-saving construction	Reduction of CO ₂ attributable to buildings	Ratio of buildings certified as green buildings to total buildings in the district (%)
	Building Insulation	ditto	Thermal performance standard
	Energy efficiency of building equipment of	ditto	Energy reduction rate of building equipment
(3) EMS	Energy management in buildings and in the district	Peak shaving and supply-demand adjustment by leveraging IT, reduction of total energy consumption	Presence/absence of a building EMS introduction plan
		ditto	Presence/absence of a home EMS introduction plan
		ditto	Presence/absence of a factory EMS introduction plan
		ditto	Presence/absence of an area EMS introduction plan

Assessment Indicators by Area (draft) 2/3

Area	Item	Expected Effect	Assessment Indicator (to promote low-carbonization)		
(4) Transportat ion	Promotion of public transportation (improvement of share ratio)	Promotion of public transportation use, control of use of vehicles	Public transportation share ratio		
	Formation of transportation nodes	Control of use of vehicles by the development of walk zones	Presence/absence of more than two types of public transportation nodes		
	Introduction of leading public transportation system	Development and promotion of use of public transportation network, control of vehicle use	Presence/absence of a BRT or LRT introduction plan		
		CO ₂ reduction among public vehicles via introduction of low- carbon vehicles	Presence/absence of an EV bus and natural gas vehicle introduction plan		
	Introduction of low-carbon vehicles	CO ₂ reduction among vehicles for private and business uses	EV and PHV penetration rates		
	Transportation demand management (TDM)	Promotion of use of public transportation and control of vehicle use via IT	Presence/absence of plans for car sharing and bicycle sharing systems		
(5) Energy	Introduction of district heating and cooling (DHC)	Improvement of district-wide energy efficiency, backup function in times of disaster	District energy utilization ratio to total energy		
	Introduction of renewable energy	Reduction in energy derived from fossil fuel	Utilization ratio to total energy		
	Introduction of unused energy	ditto	Utilization ratio to total energy		
	Introduction of smart grid (AEMS)	Area-wide supply-demand adjustment of energy via IT, reduction in energy consumption, awareness raising via visualization	Presence/absence of a smart grid introduction plan		

Assessment Indicators by Area (draft) 3/3

Area	ltem	Expected Effect	Assessment Indicator (to promote low-carbonization)
(6) Environme	Nature Conservation	Coexistence with nature	Presence/absence of an ecosystem conservation area
nt	Air	Prevention of health hazards	Whether or not standard values have been attained
	Water	ditto	ditto
	Soil	ditto	ditto
	Noise	ditto	ditto
	Water Reuse	Improvement of hygienic environment	Penetration rate of water and sewage services
		Effective use of resources	Presence/absence of a water reuse plan
	Water use reduction	Effective use of resources	Water consumption per capita
	Waste Reuse	Effective use of resources	Presence/absence of a separate collection and recycling plan
(7) Lifestyle	Environmental Education	Enhancement and promotion of environmental awareness	Presence/absence of educational curriculums
	Environmental awareness raising activities	ditto	Presence/absence of an eco-point and green purchasing plan
(8) Manageme	Low-carbon initiatives	Promotion of low-carbon initiatives	Presence/absence of low-carbon-related departments
nt		ditto	Presence/absence of a plan for low-carbon projects
	BCP	Improvement of the added value of towns	Presence/absence of a project continuity plan against disasters and power outages

(9) CO ₂		CO ₂ reduction
	_	CO ₂ absorption





How to implement an LCT-I assessment system

An assessment should be conducted under the leadership of a local/central government.
Based on the assessment results, develop and implement an improvement plan (what, how, and by when) (PDCA).
When in operation, monitor progress on a regular basis (annually or once in a few years).
The LCT-I of each district should be managed by each economy and APEC.
Report progress at an APEC meeting on a regular basis and give an LCT-I certification to or commend the economies that are actively conducting the LCT-I process.
It is desirable to establish an incentive program, such as provision of preferential project assistance (or low interest rates) to award-winning municipalities by the World Bank.



Sensitivity Analysis (case study)

Kashiwanoha Campus (Chiba, Japan), an established, well-known smart city



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Breakdown

dustry

nanaport

Ther

(above)

Afforestation

lubtotal

xisting green space

(1) City Structure

(5) Energy

tron2/yes

(2) Architecture

(3) EMS

4) Transportation

Conclusion

Advantages of using LCT-I

Following characteristics of LCT-I can be considered as the advantages to utilize LCT-I in the APEC region:

-Simple and easy to understand LCT development

 Use existing statistics data to make it intuitively easy to understand the comprehensive and quantitative status of low carbon town development

-Reflect the circumstances of each economy and project characteristics

- Take into account the economic conditions of each economy and project characteristics so as not to hamper sustainable growth
- Easy to grasp a long-term trend in achievement level at each stage of conception, planning, construction, and maintenance

-Reflect existing APEC LCMT Feasibility Study results, existing assessment indicators, and international trends

 Reflect international trends such as smart infrastructure assessment standards (TC268) and OECD activities, and combined use of such items is expected to be supported in the future NSRI 已建設計総合研究所 INKEN SEKKER Research Institute

Conclusion

Issues for the next step

-Validation of assessment indicators in each assessment area

-Setting of quantitative values for assessment standards in each assessment area (with referring existing indexes) -Provision of reference indicators and reference calculation equations to economies without their own assessment standards

-Sensitivity analysis of selected cities/towns -Method of operation and method for awarding incentives

-Method of combining it with smart infrastructure standards (TC268)



Thank you for your attention







Annex





Study Flow

(1) Examination of existing low carbon, energy efficiency and smart city indicators



- (2) Examination of management indicator system structure
 - ① Examination of scope of management indicator system
 - 2 Examination of evaluation field and indicators
 - ③ Examination of quantitative (or qualitative) evaluation method

(3) Sensitivity analysis of management indicators

- ① Selection of target cities for evaluation studies
- 2 Execution of evaluation studies and sensitive analysis



(4) External activities for the creation of management indicators

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(5) Preparation of report

Background

- Current conditions of global warming
- Global increase in urban population and city development projects
- Energy issues incidental to urban population increase
- Relationship between income level and urbanization



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Urbanization trends in APEC Economies

Urbanization = urban population / total population Urban population: population residing in "cities" as defined by national census. Source: United Nations, "World Urbanization Prospects, the 2011 Revision"

Review of Existing Indicators

<City assessment systems collected>

1. LEED-Neighborhood Development	7. Tianjin Eco City (Tianjin)
(LEED-ND)	8. Smart City Indicators
2. CASBEE for Urban Development	9. Reference Framework for Sustainable
3. CASBEE for Cities	Cities (RFSC)
4. Urban Environmental Indicators (UEI)	10. European Initiative on Smart Cities
(OECD : Green Cities programme)	(EISC)
5. Global City Indicators (GCI) (World	11.J-CODE
Bank)	12. Tianjin Yujiapu CBD (Yujiapu))
6. Green City Index (GrCI)	

<City assessment systems collected>

- Scope of Assessment
- Target Area
- Assessment Purpose
- Assessment Approach
- Year of Creation

- Creator (country)
- Number of Assessment Indicators
- Assessment Method (quantitative/qualitative)
- Participating parties

 (Applicant and
 Assessment/Certification
 Agency)
- Required Statistical Data

- Purpose of Use
- Case Examples
- Complexity
- Applicability to different regions or cities
- Alignment with international trends (ISO, etc.)





Ex) When: What phase should be assessed?

Assess each of the following phases: thorough understanding of the actual conditions, planning, design, construction, and operation.



Categorization of existing indicators by applicable phase

key goal indicators* to manage a situational change between the beginning and the end of a project key performance indicators* that monitor progress in the planning and implementation of countermeasures during the intermediary stages of the project, that is, in the planning, construction, and operational phases.

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Ex) How: How should the assessment be approached?

The assessment process should be simple and easy to understand and should reflect the circumstances of each economy, project characteristics, and international trends.



					-			-					
Key	Desired LCT-I Form												
Requirements		1	2	3	4	5	6	7	8	9	10	11	12
		LEE	CAS	CAS	Urba	Glo	Gre	Tiar	Sma	Refe	Euro	J-C	Tiar
			BEE	SBEE	an En	oal Ci	en Cit	ıjin Eo	art Cit	erenc	opear	ODE	ijin Yu
		0	: Urba	: Citie	viron	ty Ind	ty Ind	to City	y Indi	e Fran	ı Initia		ıjiapu
			in	S	nenta	icator	ex		cator	newo	ıtive o		CBD
					=	S				굿	Ď		
1. WHY	Self-assessment and	ç	с		As	As	As	ma	т	adr	Tec	т	
Assessment	growth management	Exte Ertific	C Exter ertific	A	C Exte sess	Exte Sess	Exte Sess	C Fop-d	iop-d C	iidelii ninist	shnol velor	iop-d Inage	⊳
Purpose	by cities	rnal ation	nal		rnal	rnal	rnal	lown emen	own emen	nes fo trator	ogica	own	-
								ť	- +	ν, ο'	+ =		
2. WHO	Can be assessed by	Qω	op		Unte	Unte	Unte Org	Gov	Gov	Inte Ora:	- Ora:	Gov	
Assessment	municipal senior	usin pera	usine pera	A	aniz	aniz	aniz) Jentr /emr	∕emtr	inat aniz	inat aniz) /emi	⊳
Body	management	ess tor	ess tor		ional atior	ional	ional atior	al nent	al nent	ional atior	ional atior	al nent	
									- -			<u> </u>	
3. WHEN	Capability of												
Assessment	diagnosing the actual	С	С	Α	Α	Α	Α	С	С	С	С	С	С
Timing	conditions												
	Assessable in design												
	and planning phases	Α	Α	С	С	С	С	Α	Α	Α	С	Α	A
	Assessable in												
	construction phase	Α	Α	С	С	С	С	Α	Α	Α	с	Α	A
	Assessable in												
	operational phase	С	с	Α	Α	Α	Α	С	С	С	с	с	С
4. WHERE	Administrative				Z								
Scope of	districts under the	Pro	Pro		letrop						<u> </u>		
Assessment	jurisdiction of local	c ject A	c ject A	A	c volitan	⊳	A	Þ	⊳	⊳	c dustr	₽	Þ
	governments in the	rea	rea		ı Area						~		
	APEC region				4								
5. WHAT	KGIs should be												
Assessment	considered.	С	С	Α	Α	Α	В	В	В	A nikken		В	в
Area											planes		

Assessment Areas

- Not only the areas that have a direct impact on low-carbonization, but also those that indirectly impact it (areas that contribute to the enhancement of appeal for and sustainability of LCT) should be targeted.
- While the APEC LCMT CONCEPT states measures to achieve low-carbonization as one of areas, the APEC LCT should assess the results (output) of using such measures.
- The assessment areas shall include nine basic categories (Qualitative Assessment: 8 areas, Quantitative Assessment: CO2) and each economy shall be allowed to add extra categories on an as-needed basis.



[Reference] The Concept of the Low-Carbon town in the APEC Region





Evaluation Structure of APEC LCT-I

Partial List of Evaluation Categories

CategoryEvaluation ItemEvaluation IndexImage: Commercial orgen and the second comme	** **
@Architecture Energy-saving Buildings Ratio of number of energy efficient buildings in the area . <td></td>	
Building Insulation PAL 1) Image: Constraint of the state of	
Energy Efficiency of Building EquipmentERB 2) \bullet <t< td=""><td></td></t<>	
③EMS Building and Area Energy Management Existence of building EMS implementation plan ● <	
Image: state in the state	
Image: system condition Image: system conditen condition Image: system con	
Image: Weight of the system	
(4) Transportation Promotion of Public Transportation Public transportation mode share •	
Formation of Transport Hub Existence of more than 2 types of	
Low-carbon Public Transportation Existence of BRT or LRT implementation	
Existence of electric bus or natural gas vehicle implementation plan	
Low-carbon Vehicles Diffusion rate of EV and PHV \bullet \bullet \bullet	
Transportation Demand ManagementExistence of car sharing or rental bike system implementation planImage: Comparison of the system implementation plan	
⑤Energy District Heating and Cooling System (DHC) Ratio over total energy consumption ● ● ●	
Renewable EnergyRatio over total energy consumptionImage: Construction	
Untapped EnergyRatio over total energy consumptionImage: Construction	
Smart Grid Existence of smart grid implementation 1) RAL: Perimeter Appual Load	
2) ERB: Energy Reduction Rate of Building Equipment	

Evaluation Structure of APEC LCT-I

[Structure of Evaluation]

- Assessments are performed on individual categories and overall performance.
- >Assessment results are expressed in 3-scale rating systems, i.e.,

 $\star/\star\star\star/\star\star\star$ (overall) and 1-3 point (category).

Evaluation criteria for each category can be set by individual economies
 Score of individual category is calculated based on the aggregate performance of sub-items; overall score of the LCT is the average score of individual categories.

